User's Guide

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1. Introduction.

Data Matrix Protection Suite is an easy-to-use Software Development Kit (SDK) that lets you not only to encode/decode text information into/from a Data Matrix symbol, but to digitally protect this symbol from <u>counterfeiting or tampering with</u>, as well. With **DM Protection Suite**, protecting your products and documents has never been quicker or easier!

DMPS consists of the 2 software packages – encoding (**DMPS_E**) and decoding (**DMPS_D**). The Encoding software has a proprietary built-in mechanism allowing either to create digital signature (enable Authentication) to the encoded Data Matrix symbol or to encrypt it. The Decoding software decrypts the symbol and/or checks it for authenticity while extracting the information encoded into it.

Depending on the application, the packages can be used either together or separately. In a Supply Chain application, for example, the encoding software can be used on the "manufacturing end" and the decoding software – on "receiving end".

1.1 Scope

This document is applicable to the Data Matrix Protection Suite - Decoding SDK (DMPS_D).

SDK is notated as **DMPS_D_v.x.x.**

Library interface is built for Windows (XP \dots 10)/64. Windows 32-bit and Linux 32/64 versions are also available per customer request.

The library is designed to:

- decode data encoded into Data Matrices ECC200 in accordance with ISO/IEC 16022 Symbology specification; and
- authenticate Data Matrix symbol if it was enabled for authentication utilizing **DMPS_E** encoding software (User ID/Authentication key); and/or
- decrypt Data Matrix symbol if it was encrypted utilizing DMPS_E software (Product ID/ Encryption key).

DMPS_D is based on the latest 2DTG's Data Matrix Decoding library and can be used both for the DM protection purposes, as it's described in this User's Guide, and regular decoding.

DMPD_D is capable to process DM symbols enabled with up to 16 Authentication keys at the same time.

1.2 References

- ISO/IEC 16022 Symbology specification Data Matrix
- U.S. Patent No.: 8,297,510 B1 "Mathematical method of 2D barcode authentication and protection for embedded processing"
- 2DTG User's Guide "Data Matrix Decoding SDK (Professional, DPM, Enterprise editions)"

1.3 SDK composition

Trial/Evaluation DMPS_D SDK package – fully functional 30-days trial version downloadable from 2DTG site:

- Windows DLL (**DM_EP_64.dll**) designed to perform Data Matrix decoding, authentication and decryption.
- C# source code of the Demo program **Sharp_DMPS.exe** and C++ (MSVC 2017) source code of the Demo program **Demo_MSVC_DMPS.exe**, illustrating the DLL usage.
- "ReadMe__How-to-use-DEMO-Samples"- description of the program evaluation (includes: DEMO UserID (Authentication key), DEMO Product ID (Encryption key)).
- DMPS_Samples:
 - NotProt.bmp (not protected)
 - USig.bmp (protected with User ID Data Matrix Authentication is enabled)
 - PSig.bmp (protected with Product ID Data Matrix Encryption)
 - USigPSig.bmp (protected both with User ID and Product ID combined Data Matrix authentication and encryption)

Operational package includes:

- Licensed DMPS_D SDK package
- Licensed DMPS_E SDK includes 1 (one) free Development license
- Unique Authentication key/UserID 2DTG can provide multiple IDs per customer request

OEM Operational package includes:

- Licensed DMPS_D SDK package
- Licensed DMPS_E SDK includes 5 (five) free Development licenses
- Unique Authentication key/UserID 2DTG can provide multiple IDs per customer request
- Authentication key/UserID Generator (per customer request)

1.4 Program session

Typical program session looks as follows:

Step 1. Connect decoder
Step 2. Create and set decoder options
Loop
Step 3. Capture/read bitmap image
Step 4. Process image
Step 5. Request image and symbols info
... // further application-specific data processing and interaction with user
End Loop
Step 6. Delete decoder options
Step 7. Disconnect decoder.

2. The Basic Interface Structures

The library includes the following structures:

structTDM_OptMode	- the set of decoder options,
structTDM_ImageInfo	- features of decoded image,
structTDM_Info	- features of decoded symbols,
structTDM_Quality	- Quality Parameters of decoded symbols.

2.1 Decoder options

```
/// decoder option modes
structTDM_OptMode
{
    intmaxDMCount; //!< from 1 to 100. 1 by default
    int cellColor; //!< CL_ANY by default
    int mirrorMode; //!< CL_ANY by default
    int mirrorMode; //!< SP_ROBUST by default
    int speedMode; //!< SP_ROBUST by default
    int qualityMask; //!< DM_QM_NO by default
    intlabelMode; //!< LM_NORMAL by default
    inttimeOut; //!<timeOut in mls. Timeout <= 0 means infinite timeout
    intfilterMode; //!< FM_NON by default
    int signMode; //!< DM_SM_NO by default
    int productID[128];
};</pre>
```

2.2 Image info

```
/// results of decoding the whole <code>Image structTDM_ImageInfo</code>
```

{
intDMCount; //!< number of well decoded symbols within image
intRejectionReason; //!< not DM_RR_OK if no one matrix has been well decoded
intBreakReason; //!< 0 - normal termination, 1 - termination by time-out
int AU_Validation; //!< = 1 AUTHENTICATION File is Valid
};
ImageInfo.DMCount = 1 if any Rectangle-shaped object was detected in image.
It happens if
RejectionReason = DM_RR_OK,
RejectionReason = DM_RR_BYCRIT,
RejectionReason = DM_RR_REEDSOLOMON.</pre>

If DMCount = 1 the rectangle Corners and some of Quality Parameters are defined.

BreakReason let us know whether the time out or user break happened (for embedded platforms only).

2.3 Symbol info

Each decoded symbol is described by the following structures:

```
/// Data Matrix Quality Parameters
structTDM Quality
{
    float symbol contrast;
    float axial nonuniformity;
    float grid nonuniformity;
    float fixed pattern damage;
                                         //!< the aggregate grade</pre>
    float unused error correction;
    float vertical print growth;
    float horizontal print growth;
    float symbol contrast grade;
    float axial nonuniformity grade;
    float grid nonuniformity grade;
    float fixed pattern damage grade;
    float unused error correction grade;
    float modulation_grade;
    float decode_grade; //!< 4 if DM was successfully decoded
float overall_grade; //!< minimum of grades</pre>
};
/// result of decoding of each Data Matrix symbol in image
structTDM Info
{
                  rowcols[8];
  float
                                            //!< symbol corner coordinates</pre>
 short intpchlen; //!< length of decoded byte array
unsigned char* pch; //!< pointer to that array
short intRSErr; //!< number of Reed Solomon errors</pre>
  short intVDim, HDim; //!< vertical and horizontal dimensions of Data
Matrix
```

2.4 The Constants

```
enum CELL COLOR{
CL BLACKONWHITE = 1,
CL WHITEONBLACK = 2,
          = 3
CL ANY
};
enum MIRROR MODE{
MM NORMAL = 1,
MM MIRROR = 2,
MM ANY = 3
};
enum DECODER SPEED{
SP_ROBUST = 0,
SP FAST = 1
};
enum LABEL MODE{
LM STANDARD = 0,
                      //!<-ISO 16022
LM DOTPEEN = 1,
LM FAX = 2
};
/// \enum OUALITY MASK bits of mask:
enum QUALITY MASK{
DM_QM_NO = 0X0000,
DM_QM_AXNU = 0X0001,
DM_QM_PRGR = 0X0002,
DM_QM_SYMCTR = 0X0004,
 DM QM CELLINFO = 0 \times 0008,
 DM QM ALL = 0 \times 7 FFF
};
enum FILTER MODE{
FM_NON = 0, //! < no filter
FM_SHARP1 = 1, //!< first sharpening filter
FM_SHARP2 = 2, //!< second sharpening filter</pre>
FM SHARPMASK = 3 //!< Mask filter
};
```

```
enum SIGNATURE MODE {
DM_SM_NO = 0,

DM_SM_USER = 1, //!< 0x01 User Signature

DM_SM_PRODUCT = 2, //!< 0x02 Product Signature

DM_SM_ALL = 3 //!< 0x03
};
//Image Errors
enum UID FILE VALIDATION{
//-----
AU_OK = 0,

AU_NA = 1, // Not Applicable

AU_ERR_UID_NF = 3, // File "User_*.txt" not found

AU_ERR_HEX = 4, // Unresolved hex digit in UserID

AU_ERR_UID = 5, // Wrong User ID structure/length
};
// Symbol Errors
enum DM SYMBOL VALIDATION{
  DM_AU_OK = 0,

DM_AU_NA = 1, // Not Applicable

DM_AU_FAILURE = 2, // Signature failure
  DM AU ERR PID = 7 // Wrong Product ID structure/length
};
enum DM REJECTION REASON {
  \begin{array}{ccc} DM_{RR} & OK & = 0, \\ DM_{RR} & NON & = 1, \end{array} 
 DM RR NODATAMATRIX = 2,
 DM RR BYCRIT = 3,
 DM RR REEDSOLOMON = 5,
 DM_RR_NOMEMORY = 99,
DM_RR_UNKNOWN = 100,
 DM RR DISCONNECTED = 200
};
enum DM BREAK REASON {
//-----
DM ALL INSPECTED = 0 //! < no breaks occurred
,DM_TIMEOUT = 1 //!< termination by time out
};
```

2.5 Type definitions

typedef void*PDM_Decoder;//!< handler of Data Matrix Decoder</th>typedef void*PDM_Options;//!< handler of Decoder Options</td>typedefTDM_ImageInfo*PDM_ImageInfo;//!< pointer to Image Info</td>typedefTDM_Quality*PDM_Quality;//!< pointer to symbol Quality</td>typedefTDM_Info*PDM_Info;//!< pointer to symbol Info</td>typedef unsigned char*TRow;//!< pointer to bitmap line</td>

/// The function creates Data Matrix Decoder and returns Decoder handler typedefPDM Decoder (stdcall *TConnect DM Decoder)(intmaxrow, intmaxcol); /// The function destroys Data Matrix Decoder
typedef void (stdcall *TDisconnect_DM_Decoder)(PDM_Decoder&pDecoder);
/// The function creates Decoder Options and returns Options handler
typedefPDM_Options (stdcall *TCreate_DM_Options)(PDM_DecoderpDecoder,
TDM_OptModeoptmode);
/// The function destroys Decoder Options
typedef void (stdcall *TDelete_DM_Options)(PDM_Options&pOptions);
/// The function decodes array ppbits with given Options
typedefint (stdcall *TdecodeDM_Bits)(PDM_OptionspOptions, introwcount,
intcolcount, TRow* ppbits);
/// The function returnes the ImageInfo of last decoded Image
typedefPDM_ImageInfo (stdcall *TGetDM_ImageInfo)(PDM_OptionspOptions);
/// The function returnes the DM_Info(dmNum)
typedefPDM_Info (stdcall *TGetDM_Info)(PDM_OptionspOptions, intdmNum);

3. The Interface Procedures and Functions

Description of the interface procedures is below.

3.1 Connect_DM_Decoder

PDM_DecoderConnect_DM_Decoder (intmaxrowcount, intmaxcolcount);

Description.

Function generates new instance of class encapsulating the decoder functionality.

Parameters.

Maximum of horizontal and vertical image sizes.

Return value.

Pointer to decoder in success, or NULL otherwise.

3.2 Disconnect_DM_Decoder

void Disconnect_DM_Decoder (PDM_Decoder&pDecoder);

Description.

Procedure destroys decoder class and frees memory. **Parameter.**

Pointer to decoder. Decoder should be connected.

3.3 Create_DM_Options

Class TDM_Options encapsulates the decoder options and methods of image processing and inspection.

PDM_OptionsCreate_DM_Options (PDM_DecoderpDecoder,TDM_OptModeoptmode);

Description.

Function generates new class to decode image with certain options.

Parameters.

- Pointer to decoder.
- Pointer to option modes that specify the way of image processing

Return value.

The handler that provides decoding of the image with desirable options.

3.4 Delete_DM_Options

void Delete_DM_Options (PDM_Options&pOptions);

Description.

The function destroys a handler.

Parameters.

- Handler of decoder with options.

3.5 DecodeDM_Bits

intDecodeDM_Bits	(PDM_Options	pOptions,
		int	actualrowcount,
		int	actualcolcount,
		TRow*	prows);

Description.

The function processes an image and fills Image Info and array of Symbol Infos.

Parameters.

- Handler produced by 3.3
- Number of image rows

- Number of image columns

- Array of pointers to image rows. Every row is a byte array with 8-bit pixel intensities. (We have **typedef unsigned char* TRow**;)

Return value.

0 if no one symbol was decoded, >0 otherwise. If the only symbol was decoded then Rejection Reason may be not DM_RR_OK.

3.5.1 GetDM_ImageInfo

PDM_ImageInfoGetDM_ImageInfo (PDM_OptionspOptions);

Description.

The function returns image info.

Return value.

Pointer to Image Info.

3.5.2 GetDM_Info

PDM_InfoGetDM_Info (PDM_OptionspOptions, intdmNum);

Description.

The function returns Data Matrix symbol info.

Parameters.

_

Handler of decoder with optionsNumber (index) of decoded symbol in image.If no symbols were decoded we return Info about the most probable symbol location.

Return value.

Pointer to Symbol Info.

3.6 Example of the Library usage in Windows OS (Sample_VC_D)

//----#include <stdlib.h>
#include <stdio.h>
#include <math.h>
#include <string.h>
#include <time.h>
#include <Windows.h>
#include "DMPro_Types.h"

```
#include "LoadBmp.cpp"
const int // the images size
 maxrowQ = 4000,
 maxcolO = 4000;
int main(int argc, char **argv)
{
         pbits;
   TRow*
  TRow pmembits; // Image in Memory
          ResLoadBMP;
  int
             rowcount, colcount, row, result;
  int
  int i, k;
int rr;
  PDM Decoder pDecoder;
   PDM Options dec1;
   TDM OptMode opt;
   TDM ImageInfo* pdm imageinfo;
   TDM Info* pdm info;
   clock t beg, end;
   int dmq;
   int welldec;
   char endrun;
   char UID Val[9];
   char Res USig[9];
   char Res PSig[9];
  HINSTANCE dllinstance;
   TConnect_DM_Decoder Connect_DM Decoder;
   TDisconnect_DM_Decoder Disconnect_DM_Decoder;
  TCreate_DM_OptionsCreate_DM_Options;TDelete_DM_OptionsDelete_DM_Options;TDecodeDM_BitsDecodeDM_Bits;
   TGetDM ImageInfo
                         GetDM ImageInfo;
   TGetDM Info
                           GetDM Info;
   dllinstance = LoadLibrary(L"..\\DM DecWinPro.dll");
   if (dllinstance==NULL)
    goto doExit;
   Connect DM Decoder = (TConnect DM Decoder
)GetProcAddress(dllinstance, "Connect DM Decoder");
   Disconnect DM Decoder = (TDisconnect DM Decoder
)GetProcAddress(dllinstance, "Disconnect DM Decoder");
   Create DM Options = (TCreate DM Options
)GetProcAddress(dllinstance, "Create DM Options");
   Delete DM Options = (TDelete DM Options
)GetProcAddress(dllinstance, "Delete DM Options");
   DecodeDM Bits = (TDecodeBitsF
)GetProcAddress(dllinstance, "DecodeDM Bits");
   GetDM ImageInfo = (TGetDM ImageInfo
)GetProcAddress(dllinstance, "GetDM ImageInfo");
```

```
GetDM Info
                        = (TGetDM Info
) GetProcAddress (dllinstance, "GetDM Info");
   pmembits = (TRow ) malloc(maxrowQ*maxcolQ); // Image in Memory
  pbits = (TRow*) malloc(maxrowQ*sizeof(TRow)); // pointers to ScanLines
   for (row = 0; row < maxrowQ; row++) {</pre>
    pbits[row] = &pmembits[maxcolQ*row];
   welldec = 0;
   if (Connect DM Decoder==NULL)
    goto doExit;
   pDecoder = Connect DM Decoder(maxcolQ,maxrowQ);
   if (pDecoder != NULL) {
     opt.maxDMCount = 100;
     opt.cellColor = CL ANY;
     opt.mirrorMode = MM ANY;
     opt.speedMode = SP ROBUST;
     opt.gualityMask = DM QM ALL;
     opt.labelMode = LM STANDARD;
     opt.timeOut = 0;
     opt.filterMode = 0;
     opt.signMode = DM SM ALL;
     strcpy(opt.productID, "123");
     dec1 = Create DM Options(pDecoder,opt);
     rowcount = maxrowQ;
     colcount = maxcolQ;
     ResLoadBMP = LoadBMP ("U 123.bmp", pbits, rowcount, colcount);
     if (ResLoadBMP == 0) {
      beg = clock();
      result = DecodeDM Bits(dec1,rowcount, colcount, pbits);
       end = clock();
      pdm imageinfo = GetDM ImageInfo(dec1);
       dmg = pdm imageinfo->DMCount;
      printf("\n DM count = %4d", dmg);
      rr = pdm imageinfo->RejectionReason;
       if (pdm imageinfo->AU Validation==AU OK) strcpy(UID Val," OK ");
       else if (pdm imageinfo->AU Validation==AU NA) strcpy(UID Val," N/A
");
      else strcpy(UID Val, " INVALID");
      printf("\n\n User.id: %s",UID Val);
       if (dmq>0) {
         for (i=0;i<=dmq-1;i++) {</pre>
          pdm info = GetDM Info(dec1,i);
// signatures:
          memset(Res USig,0,9);
           memset(Res PSig,0,9);
           if (pdm info->UserSign==DM AU OK) strcpy(Res USig," OK
                                                                      ");
           else if (pdm info->UserSign==DM AU NA) strcpy(Res USig," N/A
");
           else strcpy(Res USig, " FAILURE");
           if (pdm info->ProductSign==DM AU OK) strcpy(Res PSig," OK
                                                                         ");
```

```
else if (pdm info->ProductSign==DM AU NA) strcpy(Res PSig," N/A
");
           else strcpy(Res PSig, " FAILURE");
          printf("\n --- USER SIGN = %s --- PRODUCT SIGN = %s\n",
Res USig, Res PSig);
// other infos:
          if (pdm info->pchlen>0)
            printf("\n Decoded array: %s\n",pdm info->pch);
           printf("\n Corners: ");
           for (k=0;k<4;k++) {</pre>
            printf("(%4d,%4d), ", int(pdm info->rowcols[2*k])
                                   , int(pdm info->rowcols[2*k+1]));
           }
              if (rr == 0)
            welldec++;
           printf("\n Dimensions: %4d * %4d",
                   pdm info->VDim, pdm info->HDim);
          printf("\n vert. & horiz. print growth = \$7.2f,\$7.2f"
                   ,pdm info->quality.vertical print growth
                   ,pdm info->quality.horizontal print growth);
// Quality Parameters:
          printf("\n axial nonuniformity (grade) = %7.2f
                                                            (%d)"
                    ,pdm info->quality.axial nonuniformity
                    , int (pdm info->quality.axial nonuniformity grade));
          printf("\n grid nonuniformity (grade) = %7.2f
                                                           (%d)"
                    ,pdm info->quality.grid nonuniformity
                    , int (pdm_info->quality.grid_nonuniformity_grade));
           printf("\n symbol_contrast (grade) = %7.2f (%d)"
                ,pdm info->quality.symbol contrast
                , int(pdm info->quality.symbol contrast grade));
           printf("\n unused error corr. (grade) = %7.2f
                                                            (%d)"
               , pdm info->quality.unused error correction
               , int(pdm info->quality.unused error correction grade));
           printf("\n fixed patt damage. (grade) = %7.2f
                                                             (%d)"
                   , pdm info->quality.fixed pattern damage
                   , int(pdm info->quality.fixed pattern damage grade));
          printf("\n (modulation grade)
                                                               (%d)"
                                                   =
                    , int(pdm info->quality.modulation grade));
          printf("\n (decode grade)
                                                  =
                                                               (%d)"
                    , int(pdm info->quality.decode grade));
           printf("\n (overall grade)
                                                               (%d)"
                    , int (pdm info->quality.overall grade));
         }
       }
      printf("\n time = %f\n", (end - beg) / CLK TCK);
     }else{
      printf("\n\nLoadBMP !=0\n");
     Delete DM Options(dec1);
     Disconnect DM Decoder(pDecoder);
   }
   printf("\n\n Well decoded images: %d \n",welldec);
   free(pbits);
   free(pmembits);
```

```
doExit:
    printf(">");
    scanf("%c",&endrun);
    return 0;
}
```

4. C# DMPS-Decoding Demo application - GUI

Application is designed to demonstrate full encoding and authentication/encryption functionality of the **DMPS_D** SDK and how to incorporate decoding DLL into the customer application.

Double-click **Sharp_DMPS.exe** (in Windows 10 you may need to run it as administrator first time you run the program) – **"Decode DMPS"** window will appear:

🖳 Demo DMPS							<u> </u>		X
	cuments\2DTG\2DT	G WFB 2	Decoded DM	OK	01.82\DMPS_D_WIN64	Time ms 1 v18.01.8.2\USiaPSia.bm	D		
Options							Symbol Info		
max DM Count	100	-					Symbol Number	0	÷
Mirror	Normal & Mirror	~		DOCT D	DOLLEN.		Symbology ID]d1	
Decode Mode	Ultimate	~			200-1		V Dim	24	
Label Mode	St+Dot	\sim		603		H .	H Dim	24	
Quality	Yes	~					Actual Color	Black	
Color	Any	~			5 M A 1	•	Mirrored	No	_
Filter	NON	~			n na se	-	DotPeen	No	
Quiet Zone	Normal	~				-	N-5 Ellois	1	
Signature	Both	~					Authentication	ОК	_
Product ID s#12	3456789			m na	- C - T	H .	Decryption	ОК	
UserID *User_Company_Name*13351				_		0			_
						-			
Symbol Quality			1						
	Value	Grade	Decoded	Data:	Text Representation	65001 - Unicode (UTF	-8)	~	
Axial Non-Unformi	ty 0.00	4	2DTG - Your optimu	m choice in robust perfe	omance				
Grid Non-Unformit	y 0.32	4							
Symbol Contrast	100.00	4							
Unused Error Corr	ection 0.92	4							
Fixed Pattern Damage 4.00		4							
Modulation 4									
Decode Grade		4							
Overall Grade 4									
Print Growth	v 0.09 h -0	.19							

GUI looks pretty much the same way as for DM Enterprise Decoder (2DTG User's Guide "**Data Matrix Decoding SDK (Professional, DPM, Enterprise editions)** with two additional Sections (shown in Red above).

Decode Settings Options:

- Max DM count number of Data Matrix symbols within an image (if known in advance) default number = 100, total 400;
- Mirror Normal, Mirror, Normal&Mirror (default, if not known in advance)
- **Decode/Speed** Ultimate+ (Default), Ultimate, Regular, Express
- Label Mode Standard, Dot Peen (DPM), St+Dot (default)
- **Symbol Quality** YES (default)/NO
- **Color** Black, White, Any (default, if not known in advance)
- **Filter** default "None" (see Section 5.4 for detail)
- Quiet Zone Normal (per ISO 16022), Small (default "Normal")

DMPS Addition:

- **Signature** choose the option corresponding to the open symbol from the drop-down menu:
 - None
 - UserSign Authentication only
 - ProdSign **Encryption** only
 - Both Authentication + Encryption
- **Product ID** enter your **Encryption Key** (s#123456789 evaluation example).
- UserID enter UserID received from 2DTG. Up to 16 UserIDs are allowed (default data string is an evaluation example)

Overall decode info:

- **Decoded DM** number of Data Matrix decoded in this image
- **Rejection Reason** returns decode result:
 - \circ "OK" successful decoding (DM_RR_OK = 0) or

Error Code - in some cases decoding library can return certain error codes associated with the decoding process. They are as follows:

• Error Code $1 - (DM_RR_NON = 1) - no$ "structured formations" found within the image

- **Error Code 2** (DM_RR_NODATAMATRIX= 2) no "matrix-like formations" found within the image
- Error Code $3 (DM_RR_BYCRIT = 3)$ alternating pattern is incorrect (dark and light modules in the finder pattern do not meet alternation criteria)
- **Error Code 5** (DM_RR_REEDSOLOMON = 5) excessive number of Reed-Solomon error
- **Time** (ms) total decode time

Symbol Info:

- **Symbol Number** symbol for which the decode result is displayed (starts with number "0") assuming multiple number of symbols in the image
- Symbology ID GS1/Regular Data Matrix identifier for displayed symbol
- V Dm, H Dm Data Matrix dimensions (Vertical, Horizontal) in number of modules
- Actual Color shows if the color of displayed symbol is regular or inversed
- Mirrored shows if displayed symbol is mirrored or not
- **DotPeen** shows if displayed symbol was decode using Dot Peen algorithm or Standard one
- **R-S Errors** number of Reed-Solomon errors in displayed decoded symbol

DMPS Addition:

- Authentication
- Decryption

(Both fields can return 3 optional values: OK, Failed, N/A (see Section 5 for more info)).

Symbol Quality – results of the symbol quality assessment in accordance with ISO/IEC 15415 **Print Growth** - calculated per ISO/IEC 16022

Note:

DMPS library has a built-in mechanism for **UserID Validation**. If you see an error message like the one below, when trying to authenticate Data Matrix:



UserID should be checked for integrity and authentication process be repeated.

5. Authentication / Encryption Vetting

<u>DMPS</u> <u>D</u> may return the following results when checking for Data Matrix Authenticity /Encryption (all symbols are from trial SDK package and all of them get correct decoding, even if Authentication failed):

Protection Level	Data Matrix Type	Data Matrix Sample	Signature Authentication	Failure Code
Level "1" Protection Authentication only	Regular Data Matrix		User: <mark>Failure</mark>	1
Signature setting: UserSign	Authentication enabled Data Matrix		User: OK	
Level "2" Protection Encryption only Signature setting: ProdSign	Encrypted Data Matrix		Product: OK	
Level "3" Protection	Encrypted Data Matrix		User: Failure Product: OK	2
Authentication + Encryption Signature setting: Both	Authentication enabled + encrypted Data Matrix		User: OK Product: OK	

Failure Code 1 indicates that vetted symbol was counterfeited. Most probably, original Data Matrix was captured, decoded and the obtained data were used for generating counterfeited symbols for placing them on counterfeited goods, documents, etc.

Additional comments on vetting results:

Failure Code 2 indicates that both Encryption Key and Authentication Key are compromised and need to be changed immediately.

- The Product Signature (Encryption) is always being analyzed first (if this option is "ON") and if it returns "Failure" – the process stops, matrix is not decoded and no further analyzes of the User Signature is performed. This is a result of the predetermined sequence of creating digital signatures in the Protection mechanism - <u>User Signature</u> <u>must always antedate the creation of Product Signature</u>.
- 2. If **User Signature** (Authentication vetting) returned "Failure", the matrix can still be decoded, indicating at the same time that it was counterfeited on the way to the Receiver.

6. Appendix 1. Protection Concept Description - Digital signature

One of the widely used concepts of data authentication is the concept of digital signature. A digital signature scheme allows one to sign an electronic message and later the produced signature can be validated by the owner of the message or by any verifier. This concept employs asymmetric cryptography and is covered by a few international and domestic standards.

Because asymmetric key algorithms are nearly always very computationally intensive direct use of this concept for barcode applications is not practical or even feasible. In most "field" applications, the barcode decoding algorithm is embedded into the digital signal processing ("DSP") platform (for example, a scanner), having limited computational resources. Running cryptographical software on such devices would make decoding processes slow if not impossible.

DMPS employs proprietary patented symmetric key authentication mechanism that is built into an existing encoding/decoding algorithm as an optional "security feature" and is suitable for DSP platforms.

This algorithm represents modified digital signature concept and term "signature" here is used just to underline the fact that each Data Matrix, generated using this mechanism, will have the unique digital characteristics distinguishing it from any other Data Matrix symbol with the same encoded content.

DMPS provides for the two types of "digital signatures" – User Signature (Authentication) and Product Signature (Encryption).

User Signature (referenced also as an Authentication Key) is used for symbol authentication. It is calculated using the encoded data sequence, matrix dimensions and shared key (referenced as "User ID"), subject to a key-exchange protocol between originator and receiver. User ID is provided as a part of 2DTG's DMPS_E package. Since the Authentication key depends on the encoded data sequence it is different for every matrix going through the algorithm.

The resulting symbol image <u>CAN be decoded by any commercially available</u> <u>decoding software</u>. Counterfeiter most probably will not even recognize that this symbol is carrying "digital signature" with it, because it looks identical to unprotected image with the same content. However, 2DTG decoding software **DMPS_D** allows to authenticate the image by verifying its digital signature.

Product Signature (referenced also as an Encryption Key) is used for Data Matrix encryption. It depends on three parameters: Product ID (Product Serial number, Part No. or any arbitrary number - up to 100 alpha-numeric characters), User ID and matrix

dimensions. **Product ID** represents an additional secret exchange key established within the alternate (additional) key-exchange protocol. Though this protocol can be utilized alone for Data Matrix encryption, it is designed mainly for strengthening the authentication mechanism within the main key-exchange protocol for some particularly sensitive applications. In other words, it provides one more layer of protection on top of authentication.

On the contrary to the **User Signature** option, the resulting symbol image <u>CANNOT be decoded by any commercially available decoding software except</u> of **DMPS_D**.

Combined use of these two mechanisms provides the best Data Matrix protection. Diagram below illustrates the mechanism of "combined protection" explaining the sequence of events when both protection mechanisms are employed – digital signature and encryption.

This diagram illustrates also that protected matrix looks very similar to an original one. Without special efforts, for example, it may be difficult for anyone even to recognize that the matrix is "signed" or encrypted. Matrixes, circulating in a supply chain, for instance, are never the ideal matrixes – they always have defects, irregularities, or damages, which are randomized "by nature". It is impossible to say without extensive investigation if some of these irregularities may have different origin. From this perspective the implemented digital signature algorithm possesses steganography features, providing additional "safety" to the whole method.



If the process fails on either of the two protocols – matrix cannot be decoded (alternate protocol) or matrix did not pass authentication (main protocol) – it means that the system is under counterfeiting attack (note, that matrix authentication may fail even if it was successfully decoded).

Even if the Alternate protocol was compromised, it is still not enough to decrypt the matrix, because the **Encryption key** involves the "**User ID**" as one of the components for its calculation. So, the attacker must compromise the Main protocol, as well, to succeed.

In addition, even if both protocols are compromised, the attacker may not enjoy the benefit of it for a long time. Unlike the **User ID**, the **Product ID** is designed to be changeable on a regular basis, so if the problem with the protocol integrity arises it will be discovered promptly.

7. Appendix 2. Authentication Signatures and Encryption

There are, normally, two ways how symbol can be counterfeited:

- <u>Repeated encoding</u> original Data Matrix is captured, decoded and the obtained data are used for generating counterfeited symbols for placing them on counterfeited goods, documents, etc. At this stage the original data can also be tempered. This is the most "cost efficient" and "reliable" method for counterfeiters and that is why it is mostly widely used.
- <u>Direct coping</u> the symbol from original (product, document, etc.) and placing it to counterfeited item – less reliable and technologically sometimes more complicated, particularly for DPM applications.

DMPS offers two protection mechanisms effectively addressing both of these counterfeiting techniques.

1. User Signature. This method provides a Master Company (Customer) with the ability to sign a Data Matrix symbol with its own unique digital signature so that later the produced signature can be validated by the owner of the message or by any verifier. This makes <u>Repeated encoding useless for an intruder</u>, though it still not protects the symbol from direct copying.

Protecting from direct copying can be effectively implemented (particularly when protecting documents) by printing Data Matrix in UV (Ultra-Violet) inks and "reading" them with specially retrofitted UV scanners, offered by 2DTG.

The user.ID file contains the string of up to 100 alphanumeric characters as follows:



Main features:

- Allows for Data Matrix Symbol Authentication utilizing **DMPS_D** software.
- "Signature Algorithm" contains customer specific information User ID supplied by 2DTG in the form of text file with special syntax. User ID is used for calculating Authentication key.
- Authentication key depends on the encoded data sequence so it is different for every matrix going through the algorithm.

• Symbol can be decoded by any 3rd party decoding software but that software will <u>NOT BE ABLE</u> to detect **User Signature**.

Employing this technic calls for establishing a certain key-exchange protocol (referenced here as a <u>Main Key-Exchange Protocol</u>) between originator (Master company) and receiver (member of protected network), as it is always a case with the symmetric-key algorithms. This single secret key (**User ID**) must be shared and kept private by both originator and receiver.

2. **Product Signature.** This method provides a Master Company with the ability to encrypt Data Matrix symbol using **Product ID** as variable parameter. Since this parameter can be changed on a regular basis, both counterfeiting and copying of symbols becomes very difficult or even meaningless for an intruder.

Main features:

- Allows for Data Matrix Symbol encryption/decryption utilizing <u>DMPS</u> software.
- Encryption key depends both on Product ID and User ID even if this mechanism is used alone, without User signature.
- Symbol <u>CANNOT</u> be decoded by any 3rd party decoding software only by 2DTG decoding software <u>DMPS_D</u>.

This technic provides for establishing an <u>Alternate Key-Exchange Protocol</u> between Originator (Master Company) and Receiver (member of protected network). The shared secret key in this case is **Product ID**. Establishing this alternate communication protocol does not mean that the main protocol is not required anymore, because **User ID** (which is communicated within the main protocol) is still required for calculating **Encryption key**.

3. **Combined Signature.** The symbol provides the highest level of protection. In this case "**User Signature**" shall be created first and "**Product Signature**" shall be applied "on top of that". Accordingly, symbol processing at the receiving end shall be done in the reversed order as it is depicted in the block-diagram above.

The resulting symbol images - though they are almost indistinguishable for human eyes, even when compared next to each other, - feature different protection levels:

Protection Levels						
User Signature	Product Signature	Both Signatures				
Authentication mode	Encryption mode	Combined mode				
Level «1»	Level «2»	Level «3»				